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CONTRACTOR CODE	

**MICHIGAN STATE
TRANSPORTATION COMMISSION**

APPLICATION
RECEIVED

CONFIDENTIAL

W-9
RECEIVED

PREQUALIFICATION APPLICATION

(This information is required by P.A. 170 of
1933, to certify eligibility for bidding on projects.)

AS OF FISCAL YEAR ENDED
(MM/DD/YY)

SUBMITTED BY			
NAME OF BIDDER (Company)	DBE	TELEPHONE NO. ()	FAX NO. ()
STREET ADDRESS			
CITY		STATE	ZIP CODE

INSTRUCTIONS FOR FILING PREQUALIFICATION APPLICATION

Type or Complete in Ink

Required information shall be furnished pursuant to the "Administrative Rules Governing the Prequalification of Bidders for Highway and Transportation Construction Work" dated December 31, 1968, and amended December 27, 2002. These amended rules were promulgated into the State of Michigan Administrative Code and became effective December 27, 2002.

PREQUALIFICATION APPLICATION: The prequalification application shall include the prospective bidder's balance sheet with memorandum entries (pages 8-11), income statement (page 12), and supporting schedules. It also shall include pages 5-7, pages 17-22, and a copy of page 4 for EACH WORK CLASSIFICATION REQUESTED.

STATEMENT DATE: For continuous prequalification, the prospective bidder shall file an application once each year as of the end of the prospective bidder's fiscal year. This date shall coincide with the bidder's Federal and State income tax fiscal year. Interim financial statements will not be accepted. For date of filing application see Rule 247.32.

METHOD AND BASIS OF ACCOUNTING: The method and basis of accounting used by the bidder in keeping the books of account and in filing the application shall be disclosed on the balance sheet, page 8. See Rules 247.36 and 247.37.

CPA AUDITED FINANCIAL STATEMENTS: Prequalification for an amount in excess of \$1,000,000 requires the filing of an audit report on which an opinion is expressed as to the overall fairness of the financial statements. This audit report shall consist of a balance sheet, and income statement and other required statements. The balance sheet and income statement included in this application will not serve as CPA audited financial statements. See Rules 247.34(1) and (2).

LIMITATION OF PREQUALIFICATION/NON-AUDITED FINANCIAL STATEMENTS: Prospective bidders submitting financial statements which are not audited by a CPA shall be limited to a rating of \$1,000,000. The application shall be completed in all other respects including verification of cash in bank, certificates of deposit and accounts receivable balances in excess of \$500.00. Compilations and reviews by a CPA are acceptable for this limited rating. See Rule 247.34(2). All information submitted is subject to investigation by the Department.

JOINT OWNERSHIP OF PROPERTY: Property held jointly by the bidder and another party cannot be considered an asset unless both parties are members of the partnership.

INCOMPLETE APPLICATION: All blank spaces in the application must be completed, giving all information as required in Rule 247.22(3). Only complete applications will be accepted. The signatory of this application on page 22 guarantees the truth and accuracy of all statements and of all answers to interrogatories made. It is mandatory that all questions be answered without exception.

NAME OF BIDDER: Each bidder (company) shall list only one legal identity on the cover sheet of the application.

ACCOMMODATIONS/ACCESS: If you need this information in an alternate format such as large print, braille or audio tape, or require another type of accommodation, contact the MDOT Contract Services Division at P.O. Box 30050, Lansing, MI 48909, Voice (517) 335-4281, Fax (517) 373-3707, or TDD/TTY through the Michigan Relay Center (800) 649-3777.

THE PREQUALIFICATION FORMS REFERRED TO IN THE RULES ARE CONTAINED HEREIN, AND ANY REQUIRED ATTACHMENTS, SCHEDULES, AND DATA REQUESTED IS BINDING UPON THE PROSPECTIVE BIDDER. THESE FORMS ARE ALSO AVAILABLE ON LINE AT WWW.MICHIGAN.GOV/MDOTCONTRACTORS.

SUBMIT ONE COPY OF THE COMPLETED APPLICATION TO: MICHIGAN DEPARTMENT OF TRANSPORTATION, CONTRACT SERVICES DIVISION, P.O. BOX 30050, LANSING, MI 48909. STREET ADDRESS FOR HAND DELIVERY OR OVER-NIGHT EXPRESS APPLICATIONS: 425 WEST OTTAWA STREET, LANSING, MI 48933.

WORK CLASSIFICATIONS

- | | | | |
|-----|--|-----|---|
| B. | Concrete Pavement

Construction of portland cement concrete base and surface course. | H. | Landscaping

Contracts involving ornamentation of roadsides and parks and other incidental construction. |
| Ba. | Concrete Pavement Patching and Widening | I. | Seeding and Sodding/Turf Establishment |
| Ca. | Chip Seals | J. | Miscellaneous Concrete Items

Construction of concrete curb and gutter, sidewalk, barrier wall, driveways, and other incidental construction. |
| Cb. | Plant-Mixed Hot Mix Asphalt/Bituminous Paving | K. | Sewers and Watermains |
| Ea. | Grading, Drainage Structures, and Aggregate Construction. | Ka. | Tunneling and Jacking |
| Fa. | Bridges and Special Structures

Construction of masonry, fabricated steel, prestressed concrete beam, or timber bridges, large culverts and grade separations, special structures and other incidental construction. | L. | Electrical Construction |
| Fb. | Structural Steel

Erecting structural steel, prestressed concrete beams and placing reinforcing steel on bridges and grade separations and other incidental structures. | N2. | Clearing |
| Fd. | Pump Stations

Construction of pump stations and other incidental structures. | N3. | Pavement Marking |
| G. | Building Moving and Demolition

Building moving, demolition and other incidental construction. | N4. | Bridge Painting |
| | | N5. | Railroad Track Construction |
| | | N6. | Permanent Signs |
| | | N7. | Waterproofing |

N9 CLASSIFICATIONS

The classification codes shown in parentheses preceding some N9 classifications below are considered to already include that particular N9 classification. If you are prequalified in the classification in parentheses please do not request that particular N9 classification. (For example, if you are prequalified in Fa, do not request N9-1A, Bridge Deck Repair.)

1. BRIDGE

- (Fa) A. Bridge Deck Repair
- (Fa) B. Bridge Railing Replacement
- (Fa) C. Concrete Structure Repair
- (Fa) D. Concrete Bridge Railing
- E. Structural Crack Repair
- F. Hydrodemolition

2. ROADWAY (GRADE)

- (Ea) B. Edge Drain
- (Ea) C. Erosion Control Structures
- D. Crushing and Shaping

3. PAVEMENTS

- A. Cold Milling
- B. Rubblizing Concrete Pavement
- (B,Ba) C. Concrete Sawing
- D. Grinding and Grooving
- E. Overband Crack Fill
- (B,Ba) F. Joint or Crack Fill
- G. Joint Repair (Detail 7 & 8)
- H. Slurry Seal
- I. Microsurfacing

4. DRAINAGE

- A. Sewer Cleanout
- B. Sewer Inspection

5. FOUNDATIONS

- (Fa) A. Augered Piling
- B. Caisson Drilling
- (Fa) C. Pile Driving
- (Fa) D. Sheet Piling

6. GENERAL

- (Fa,Fb) C. Placing Resteel
- E. Rail Salvage
- F. Railroad Signals
- I. Raised Pavement Markers
- K. Attenuators
- L. Guardrail
- M. Fences
- N. Paving Brick

Indicate whether the Bidder is a parent corporation and list the name and address of each subsidiary company.

Indicate whether the Bidder has affiliates and the name and address of each such related company.

Indicate whether any of the related companies listed are engaged in similar or related business as that of the Bidder.

Has the entity (bidder) or any of the officers, members, owners or partners, etc. in this entity ever been or are now officers, members, owners or partners, etc. in an entity that has failed in business or failed to complete work awarded?
YES NO if yes, explain.

Has this entity (bidder) or any of its officers, members, owners or partners, etc. ever been or are now an officer, member, owner or partner, etc. in an entity that has been denied prequalification or removed from an approved bidder's list by this or any other state or Federal Government? YES NO If yes, provide complete details including when, where, and why.

Indicate other businesses in which any officer, member, owner or partner, etc. is actively engaged.

Please provide the name and location of any plants (concrete or HMA), aggregate/sand sources, manufacturer, distributor, fabricator, etc. that are owned/related in any way to the Bidder or any of its officers, members, owners, or partners. etc.

Indicate the individuals who own your company (the bidder). If the bidder is owned by another company, etc., please indicate the ownership of that company.

Owners, partners, stockholders (those holding more than 10% interest of the outstanding stock), officers and directors are required to disclose the following information:

(A) FINANCIAL INTEREST IN OTHER BUSINESSES

List the names of other businesses in which the owners, partners, stockholders, officers and directors have a financial interest.

(B) FINANCIAL INTEREST IN OTHER PREQUALIFIED BIDDERS

Name all MDOT prequalified bidders (individuals, partnerships or corporations), in which you have a financial interest (equity loans etc.) or in which you are an officer or director

NAME OF OTHER BIDDERS	NAME OF INDIVIDUAL PARTNER STOCKHOLDER OFFICER OR DIRECTOR	NUMBER OF SHARES	AMOUNT OF LOAN	%OF OUTSTANDING STOCK OWNED
TOTAL				

(C) OTHER PREQUALIFIED BIDDERS FINANCIAL INTEREST IN YOUR COMPANY

Name all MDOT prequalified bidders (individual proprietorships, partnerships or corporations), which have a financial interest (equity, loans, etc.) in your company.

NAME OF OTHER BIDDERS	NUMBER OF SHARES	AMOUNT OF LOAN	%OF OUTSTANDING STOCK OWNED
TOTAL			

NAME OF PREQUALIFYING BIDDER

FISCAL YEAR ENDED (mm/dd/yy)

The company named above maintains its books of account on the following basis and method. Check appropriate box in each section.

BASIS

- Cash
- Accrual

METHOD OF KEEPING BOOKS

- Completed contract
- Percentage of completion
- Other - Enclose copy of letter of approval from Prequalification committee

METHOD OF PREQUALIFICATION

- Completed contract
- Percentage of completion

THE FOLLOWING STATEMENT WILL NOT SERVE AS AN AUDITED BALANCE SHEET

BALANCE SHEET

ASSETS

CURRENT ASSETS	Memorandum Entries If Applicable			AS ADJUSTED FOR PREQUALIFICATION
	PER BOOKS	DEBITS	CREDITS	
Cash				
On Hand	\$ _____	\$ _____	\$ _____	\$ _____
In bank (subject to withdrawal)	_____	_____	_____	_____
Certificates of deposit	_____	_____	_____	_____
Marketable securities				
Bonds and stocks	_____	_____	_____	_____
Other (Specify)	_____	_____	_____	_____
Trade accounts receivable				
Due within one year	_____	_____	_____	_____
Retention on contracts	_____	_____	_____	_____
Less allowance for uncollectable accounts	(_____)	(_____)	(_____)	(_____)
NET TRADE ACCOUNTS RECEIVABLE	_____	_____	_____	_____
Notes receivable	_____	_____	_____	_____
Interest and dividends receivable	_____	_____	_____	_____
Costs and estimated earnings in excess of billings on uncompleted contracts	_____	_____	_____	_____
Inventories (at lower of market or cost) construction materials and supplies on hand	_____	_____	_____	_____
Costs of uncompleted contracts in excess of related billings recorded	_____	_____	_____	_____
Other allowable current assets				
Bid deposits	_____	_____	_____	_____
Cash surrender value life insurance	_____	_____	_____	_____
Prepayments (insurance, interest, taxes, etc.)	_____	_____	_____	_____
Other assets realizable within one year. Describe fully:	_____	_____	_____	_____
TOTAL CURRENT ASSETS	\$ _____	\$ _____	\$ _____	\$ _____

BALANCE SHEET (cont.)

ASSETS

	Memorandum Entries If Applicable			AS ADJUSTED FOR PREQUALIFICATION
OTHER ASSETS	PER BOOKS	DEBITS	CREDITS	AS ADJUSTED FOR PREQUALIFICATION
Receivable due from officers and employees	\$ _____	_____	_____	\$ _____
Receivable due from affiliated companies	_____	_____	_____	_____
Trade receivables over one year past due	_____	_____	_____	_____
Advances to affiliated companies	_____	_____	_____	_____
Other (Specify) _____	_____	_____	_____	_____
TOTAL OTHER ASSETS	\$ _____	\$ _____	\$ _____	\$ _____
FIXED ASSETS				
Construction and transportation equipment	\$ _____	\$ _____	\$ _____	\$ _____
Less accumulated depreciation	(_____)	(_____)	(_____)	(_____)
Net book value (per total on pages 17 and 18)	_____	_____	_____	_____
Land	_____	_____	_____	_____
Buildings	_____	_____	_____	_____
Less accumulated depreciation	(_____)	(_____)	(_____)	(_____)
Net book value	_____	_____	_____	_____
Leasehold improvements	_____	_____	_____	_____
Less accumulated depreciation	(_____)	(_____)	(_____)	(_____)
Net book value	_____	_____	_____	_____
Furniture and fixtures	_____	_____	_____	_____
Less accumulated depreciation	(_____)	(_____)	(_____)	(_____)
Net book value	_____	_____	_____	_____
Other fixed assets	_____	_____	_____	_____
Less accumulated depreciation or amortization	(_____)	(_____)	(_____)	(_____)
Net book value	_____	_____	_____	_____
TOTAL FIXED ASSETS	\$ _____	\$ _____	\$ _____	\$ _____
TOTAL ASSETS	\$ _____	\$ _____	\$ _____	\$ _____

BALANCE SHEET (cont.)

LIABILITIES

CURRENT LIABILITIES

(all liabilities payable within one year)

	PER BOOKS	Memorandum Entries If Applicable		AS ADJUSTED FOR PREQUALIFICATION
		DEBITS	CREDITS	
Notes payable bank	\$ _____	_____	_____	\$ _____
Notes or contracts on construction equipment and transportation obligations due within one year	_____	_____	_____	_____
Accounts payable	_____	_____	_____	_____
Accrued expenses (include wages, payroll taxes, fringe benefits, etc.)	_____	_____	_____	_____
Taxes				
Federal Income tax liability	_____	_____	_____	_____
Estimated deferred Federal and state income taxes for earnings on uncompleted contracts taken into current income	_____	_____	_____	_____
State of Michigan Income taxes	_____	_____	_____	_____
Other taxes (Specify) _____	_____	_____	_____	_____
Total Taxes	_____	_____	_____	_____
Pension and profit sharing contributions payable	_____	_____	_____	_____
Billings in excess of cost and estimated earnings on uncompleted contracts	_____	_____	_____	_____
Mortgages payable (current portion) Other	_____	_____	_____	_____
long-term liabilities (current portion) Other	_____	_____	_____	_____
liabilities due within one year (Describe)	_____	_____	_____	_____
_____	_____	_____	_____	_____
TOTAL CURRENT LIABILITIES	\$ <u>_____</u>	\$ <u>_____</u>	\$ <u>_____</u>	\$ <u>_____</u>

OTHER LIABILITIES

Payable to affiliates Payable to officers and employees	_____	_____	_____	_____
Other (Specify) _____	_____	_____	_____	_____
TOTAL OTHER LIABILITIES	\$ <u>_____</u>	\$ <u>_____</u>	\$ <u>_____</u>	\$ <u>_____</u>

LONG-TERM LIABILITIES

Long-term obligation on construction equipment	_____	_____	_____	_____
Other due after one year (Describe)	_____	_____	_____	_____
_____	_____	_____	_____	_____
TOTAL LONG-TERM LIABILITIES	\$ <u>_____</u>	\$ <u>_____</u>	\$ <u>_____</u>	\$ <u>_____</u>

TOTAL LIABILITIES	\$ <u>_____</u>	\$ <u>_____</u>	\$ <u>_____</u>	\$ <u>_____</u>
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BALANCE SHEET (cont.)

SHARE HOLDERS' EQUITY	PER BOOKS	Memorandum Entries If Applicable		AS ADJUSTED FOR PREQUALIFICATION
		DEBITS	CREDITS	
Capital stock				
Common	\$ _____	_____	_____	\$ _____
Preferred	_____	_____	_____	_____
Paid-in surplus	_____	_____	_____	_____
Retained earnings	_____	_____	_____	_____
Sub Total	_____	_____	_____	_____
Less Treasury stock, at cost	(_____)	(_____)	(_____)	(_____)
TOTAL SHAREHOLDERS' EQUITY	=====	=====	=====	=====
PARTNERS' EQUITY	=====	=====	=====	=====
PROPRIETORSHIP EQUITY	=====	=====	=====	=====
TOTAL EQUITY	\$ =====	\$ =====	\$ =====	\$ =====
TOTAL LIABILITIES AND EQUITY	\$ =====	\$ =====	\$ =====	\$ =====

INCOME STATEMENT

PER BOOKS OF ACCOUNT

Gross Billings on Contracts	\$ _____
*Costs of Contracts	\$ _____
Gross Profit (Loss) on Contracts	\$ _____
*Operating Expenses	\$ _____
Operating Income	\$ _____
Other Deductions (Net)	\$ _____
Other Income (Net)	\$ _____
Net Income Before Federal Income Tax	\$ _____
Federal Income Tax	\$ _____
NET INCOME (LOSS) FOR YEAR	\$ _____
 *Amount of Depreciation Expense for Construction and Transportation Equipment included in above.	 \$ _____

THE ABOVE STATEMENT WILL NOT SERVE AS A CPA AUDITED INCOME STATEMENT.

Summary - Depreciation Expense

Building	\$ _____
Construction Equipment	\$ _____
Transportation Equipment	\$ _____
Office Furniture & Fixtures	\$ _____
Other _____	\$ _____
TOTAL	\$ _____

DETAILS - CURRENT ASSETS

CASH:

In banks subject to withdrawal: _____ \$ _____

NAME OF BANK	ADDRESS	DEPOSIT IN THE NAME OF	AMOUNT

Certificates of Deposit: _____ \$ _____

NAME OF BANK	DATED	DEPOSITED IN THE NAME OF	INT. RATE	MATURITY DATE	WHERE HELD	AMOUNT

Have any of the above been pledged? Yes No If yes, state amount, to whom and reason below:

MARKETABLE SECURITIES:

(a) Listed - Book Value _____ \$ _____
 (b) Unlisted - Book Value _____ \$ _____

NUMBER	NAME OF SECURITY	IN WHOSE NAME	PAR VALUE	MARKET VALUE

Have any of the above been signed or pledged? Yes No If yes, state amount, to whom and reason below:

RECEIVABLES:

(a) Trade Accounts _____ \$ _____
 (b) Notes Receivables _____ \$ _____
 (c) Interests & Dividends Receivable _____ \$ _____

DUE FROM WHOM	AMOUNT

Have any of the above been sold, assigned or pledged? Yes No If yes, state amount, to whom and reason below:

DETAILS - CURRENT ASSETS (cont.)

Costs and estimated earnings in excess of billings of uncompleted contracts. SUBMIT SCHEDULE:

INVENTORIES (at lower of cost or market): (a) Construction Materials \$ _____
 (b) Other Supplies \$ _____

DESCRIPTION	PURCHASE FROM	QUANTITY	COST PRICE	MARKET VALUE

Costs of uncompleted contracts in excess of related billings recorded. SUBMIT SCHEDULE:

OTHER ALLOWABLE CURRENT ASSETS (show detail). DESCRIBE BELOW:

DETAILS - CURRENT LIABILITIES

NOTES PAYABLE:

(a) To Banks \$ _____
 (b) Notes or contracts payable on construction equipment and transportation obligation. \$ _____

TO WHOM	FOR WHAT	HOW SECURED	WHEN DUE	AMOUNT

ACCOUNTS PAYABLE:

(a) To Subcontractors \$ _____
 (b) Trade Accounts \$ _____

TO WHOM	FOR WHAT	HOW SECURED	WHEN DUE	AMOUNT

Billings in excess of cost and estimated earnings on the uncompleted contracts:

TO WHOM	FOR WHAT	HOW SECURED	WHEN DUE	AMOUNT

ACCRUED EXPENSES:

OTHER CURRENT LIABILITIES (Show detail):

\$ _____

TO WHOM	FOR WHAT	HOW SECURED	WHEN DUE	AMOUNT

IF AN INDIVIDUAL PROPRIETORSHIP, ANSWER THIS:

NAME OF INDIVIDUAL

ASSUMED NAME (If Applicable)

BUSINESS ADDRESS	Street	CITY	STATE	ZIP CODE
RESIDENCE ADDRESS	Street	CITY	STATE	ZIP CODE
REGISTERED IN:		FEDERAL EMPLOYER NO.		
		County		

IF A CORPORATION, ANSWER THIS:

REGISTERED CORPORATE NAME

REGISTERED OFFICE ADDRESS	Street	CITY	STATE	ZIP CODE
FEDERAL EMPLOYER NO.	INCORPORATION DATE	STATE OF INCORPORATION		

NAME	RESIDENT ADDRESS
RESIDENT AGENT	
PRESIDENT	
VICE-PRESIDENT	
SECRETARY	
TREASURER	

IF A PARTNERSHIP OR LIMITED LIABILITY CORPORATION, ANSWER THIS:

LEGAL NAME OF PARTNERSHIP OR LLC

BUSINESS ADDRESS	Street	CITY	STATE	ZIP CODE
FEDERAL EMPLOYER NO.	DATE OF ORGANIZATION	PARTNERSHIP IS: <input type="checkbox"/> General <input type="checkbox"/> Limited		

NAME OF PARTNERS/MEMBERS	RESIDENT ADDRESS

CERTIFICATE OF SECRETARY

The undersigned, being the duly elected secretary of _____
a corporation, hereby certifies that the following resolution was duly adopted by the Board of
Directors of said corporation at a meeting on _____, and that this
resolution is in full force and effect:

“RESOLVED, That the following listed persons are hereby authorized to execute, on
behalf of _____
any and all contracts with the State of Michigan or other governmental entity.”

NOTE: The names printed below must be identical to the
authorized signers on page 20.

Signature of Secretary

Date

AUTHORIZATION FOR VERIFICATION AND AFFIDAVIT

I, being duly sworn, understand that Act 170 of the Public Acts of 1933 permits, and the "Administrative Rules Governing the Prequalification of Bidders for Highway and Transportation Construction Work" require the disclosure of financial and other information in the Confidential Prequalification Application and Financial Statement, Form 1313. I am also aware that the submission of false and deceptive information is a misdemeanor under Act 170, and submission of fraudulent statements may result in the prospective bidder not being prequalified, swear that, to the best of my knowledge, the financial statements and other information set forth in this Form are true and accurate statements as of the fiscal year ended _____, and that the accountant who prepared the financial statements accompanying this form, as well as any depository, vendor or other agency named in these documents, is authorized to supply the Michigan Department of Transportation with any information to verify the statements contained in this form.

NAME (Print or type)	TITLE
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NAME OF BIDDER

SIGNATURE OF OWNER, OFFICER OR PARTNER	DATE
--	------

Subscribed and sworn to before me this _____ day of _____, 20____

NOTARY PUBLIC	COUNTY/STATE	COMMISSION EXPIRES
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